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Theory of electronic transport through a triple quantum dot in the presence of magnetic field

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Abstract

A theory of electronic transport through an empty triangular triple quantum dot subject to a perpendicular magnetic field is developed using a tight-binding model and Landauer–Büttiker approach. We show that a magnetic field allows one to engineer degeneracies in the energy spectrum of the triple quantum dot. The degeneracies lead to zero electronic transmission and sharp dips in the current whenever a pair of degenerate states lies between the chemical potentials of the two leads. These dips can occur with a periodicity of one flux quantum if only two levels contribute to the current or with half a flux quantum if the three levels of the triple dot contribute. The effect of strong bias voltage and different lead-to-dot connections on Aharonov–Bohm oscillations in the conductance is also discussed.

1. Introduction

Using charge sensing techniques Gaudreau *et al* [1, 2] recently demonstrated lateral triple quantum dot (TQD) molecule with controlled number of electrons, down to zero. Preliminary transport experiments in external magnetic field [3, 4] showed signatures of Aharonov–Bohm (AB) oscillations, indicating coherent coupling between the constituent dots. Motivated by forthcoming experiments, we present here a theory describing signatures of AB oscillations in transport through the TQD in a perpendicular magnetic field around the fundamental quadruple point at which configurations (0, 0, 0), (1, 0, 0), (0, 1, 0), and (0, 0, 1) are degenerate. Using tight-binding model we show that magnetic field allows us to engineer degeneracies in the triple quantum dot spectrum, and that these degeneracies lead to zero electronic transmission and to sharp dips in the current. These anomalies in transport can appear with different periodicities, or be suppressed, depending on the applied source–drain voltage and dot energies. The main features of the transport are explained as an interplay between Fano resonances and AB oscillations. The AB oscillations apparent in the conductance allow for

unambiguous identification of TQD parameters. The effects of strong bias voltage on the conductance are also discussed. Two different lead-to-dot connections are considered: a left lead connected to a single dot and left lead connected to two dots. The first configuration leads to a periodic oscillation of the current with the magnetic field while the second one breaks the periodicity introducing additional structure superimposed on the oscillatory behavior as a function of the magnetic flux.

In our tight-binding model of an empty molecule, effects associated with the electron–electron interactions, extensively analyzed experimentally [5–7] and theoretically [8–14], in the context of Kondo physics in the transport through single quantum dots, do not appear. The electron–electron interaction and the Kondo physics do appear at other quadruple points where the TQD contains a finite number of electrons [15]. On the other hand, broadening of molecular energy levels is properly taken into account in our model. A perpendicular magnetic field is accounted for by Peierls phase factors [16, 17] in the single-particle tunneling elements, leading to AB oscillations in the conductance with period of one flux quantum $\Phi_0 = \frac{hc}{2e}$ (e —electron charge, h —Planck’s constant and c —speed of light), and anomalies at half flux quantum. The AB

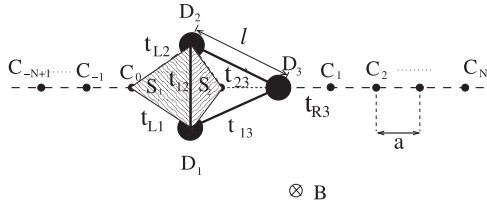


Figure 1. Schematic diagram of the spatial layout of the triple dot and the two leads. Allowed tunneling between different sites is marked with thick long-dashed lines.

oscillations in the conductance are inherent to rings threaded by magnetic flux [18, 19]. Flux period of $2\Phi_0$ is observed in conventional AB experiments with electrons propagating in field-free regions [20, 21] and also in mesoscopic experiments, for example in metal rings [22] or in electronic Mach–Zehnder interferometers [23, 24]. Furthermore, Φ_0 periods can be also observed due to weak localization effects [25, 26].

At difference with previous works on equilateral triple dot connected to leads where only the linear response to a small bias was analyzed [27–31], or works based on a master equation approach to a single electron tunneling [32–34] valid only in the limit of large applied bias, using the Landauer–Büttiker formalism [35–37], we discuss the differential conductance in the case of arbitrary applied bias voltage and magnetic field in an exact non-perturbative way, including the experimental conditions in [3].

The paper is organized as follows. In section 2 we introduce the Hamiltonian describing the system while section 3 explains how to obtain the transmission coefficient from the transfer matrix and the scattering boundary conditions. The AB oscillations in the current are analyzed in section 3.1 together with the Fano line shape of the transmission probability while the anomalous behavior of the transmission close to multiples of half flux quantum is studied in section 3.2. The conductance in the non-linear regime is analyzed in section 5. The paper is summarized in section 6.

2. Model

The triple dot connected to leads is shown schematically in figure 1. The leads are described within a one-dimensional tight-binding model, with nearest neighbors hopping t_L . Each dot is represented by a single orbital, connected to nearest neighbors by magnetic field dependent hopping matrix elements $t_{ij}(B)$, with $i, j = 1, 2, 3$ ($i \neq j$). The left lead is connected to the dots 1 and 2, see figure 1, through the hopping elements t_{L1} and t_{L2} , while the right lead is connected only to dot 3 with hopping matrix element t_{R3} . The TQD is subject to a uniform perpendicular magnetic field, $\mathbf{B} = B\hat{z}$. The Hamiltonian describing the system is then given by

$$H = H_{\text{TQD}} + H_{\text{leads}} + H_{LD}, \quad (1)$$

where H_{TQD} is the Hamiltonian corresponding to an electron in an isolated triple dot

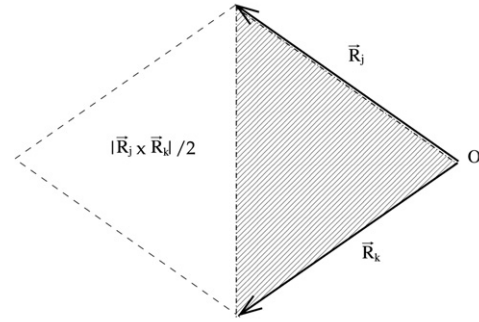


Figure 2. Area responsible of the phase difference between two points \mathbf{R}_j and \mathbf{R}_k when a vector potential \mathbf{A} with a gauge centered in the point O is considered.

$$H_{\text{TQD}} = \sum_{i=1}^3 (E - \Delta V/2) d_i^\dagger d_i + \sum_{i,j=1, i \neq j}^3 t_{ij}(B) d_i^\dagger d_j, \quad (2)$$

where the operators d_i (d_i^\dagger) annihilate (create) an electron in dot i . E is the energy level of each quantum dot and ΔV is the energy bias between the two leads. Notice that as a first order approximation, we have assumed that the shift in the dot energy levels as a function of the applied bias ΔV is the same for all dots. Furthermore, for identical dots the hopping matrix elements at $B = 0$ satisfy $t_{ij} = t \forall i, j$.

H_{leads} is the Hamiltonian describing the two non-interacting leads with N sites each,

$$H_{\text{leads}} = \epsilon_L c_0^\dagger c_0 + \sum_{i=-N+1}^{-1} [\epsilon_L c_i^\dagger c_i + t_L (c_i^\dagger c_{i+1} + hc)] + \epsilon_R c_1^\dagger c_1 + \sum_{i=2}^N [\epsilon_R c_i^\dagger c_i + t_L (c_{i-1}^\dagger c_i + hc)], \quad (3)$$

where c_i^\dagger and c_i are respectively the creation and annihilation operators of an electron on site i in the leads, ϵ_L is the on-site energy in the leads at zero bias and $\epsilon_R = \epsilon_L - \Delta V$. Both leads are characterized by the same hopping matrix elements, t_L . Finally, the interaction Hamiltonian H_{LD} is given by

$$H_{LD} = t_{L1}(B) c_0^\dagger d_1 + t_{L2}(B) c_0^\dagger d_2 + t_{R3}(B) c_1^\dagger d_3 + hc.$$

The magnetic field B renormalizes the single-particle tunneling elements t_{jk} by Peierls phase factors [16, 17], $t_{jk}(B) = t_{jk} e^{2\pi i \phi_{jk}}$, where $\phi_{jk} = \frac{e}{hc} \int_{\mathbf{R}_j}^{\mathbf{R}_k} \mathbf{A} \cdot d\mathbf{l}$. \mathbf{A} is the corresponding vector potential and \mathbf{R}_j and \mathbf{R}_k are the positions of the sites connected by the hopping elements t_{jk} . Taking the symmetric gauge in which $\mathbf{A} = [-By, Bx, 0]$, the phase difference between two points \mathbf{R}_j and \mathbf{R}_k is given by $\phi_{jk} = \frac{1}{4} \mathbf{B} \cdot (\mathbf{R}_k \times \mathbf{R}_j) / \Phi_0$, see figure 2, with Φ_0 the magnetic flux quantum.

For the three quantum dots located in the corners of an equilateral triangle we have $\phi_{12} = \phi_{23} = \phi_{31} = -\phi/3$. Here, $\phi = \sqrt{3}Bl^2/(8\Phi_0)$ is the number of magnetic flux quanta threading the area of the TQD, with l being the distance between dots, identified in figure 1.

For the general case where the left lead is connected to dots one and two through the hopping matrix elements $t_{L1}(B)$ and $t_{L2}(B)$, while dot three is connected only to the right lead with hopping parameter t_{R3} , as shown in figure 1, there is an extra magnetic flux ϕ' . In this case, $t_{L1}(B) = t_{L1}e^{2\pi i\phi'}$ and $t_{L2}(B) = t_{L2}e^{-2\pi i\phi'}$. If S_1 and S_2 are the shaded areas in figure 1 and A_T the area of the equilateral triangle defined by the three dots, the two fluxes are related through the ratio of areas $\phi' = \phi \frac{\pi}{2} \left(\frac{S_1 + S_2}{A_T} \right)$. As it will be shown, these phases have an important effect on transport leading to a non-periodic behavior of the transmission with the magnetic field, except for the particular case where ϕ/ϕ' is a rational number.

3. Transfer matrix and scattering matrix

Our aim in the present section is to obtain a 2×2 transfer matrix \mathcal{T} which relates the amplitude of the wavefunctions on the last two sites of the left lead, C_{-1} and C_0 , with those at the first two positions of the right lead, C_1 and C_2 . In matrix form,

$$\begin{pmatrix} \mathcal{T}_{11} & \mathcal{T}_{12} \\ \mathcal{T}_{21} & \mathcal{T}_{22} \end{pmatrix} \begin{pmatrix} C_{-1} \\ C_0 \end{pmatrix} = \begin{pmatrix} C_1 \\ C_2 \end{pmatrix}. \quad (4)$$

Here and in the following sections, we will use the notation $X_i \equiv \langle \mathbf{r} | x_i^+ | 0 \rangle$ with $x_i^+ = c_i^+, d_i^+$ for the amplitude of the wavefunction at position i . The transmission and reflection coefficients can be then obtained by imposing the scattering boundary conditions. In particular, if we consider a left incident plane wave with wavevector k , the amplitudes at the left and right of the triple dot will be given by

$$\begin{aligned} C_0 &= 1 + R & C_{-1} &= e^{-ika} + R e^{ika} \\ C_1 &= T & C_2 &= T e^{ik'a}, \end{aligned} \quad (5)$$

The wavevector and the energy of the incident electron ε is related through the dispersion relation in an infinite lead, $ka = \arccos\left(\frac{\varepsilon - \varepsilon_L}{2t_L}\right)$ and $k'a = \arccos\left(\frac{\varepsilon - \varepsilon_L + \Delta V}{2t_L}\right)$, with a the lattice constant. Then, from (4) and (5), reflection R and transmission T can be expressed as:

$$\begin{aligned} R &= e^{-ika} \frac{-e^{ik'a}\mathcal{T}_{11} + \mathcal{T}_{21} + e^{ika}(-e^{ik'a}\mathcal{T}_{12} + \mathcal{T}_{22})}{e^{ik'a}(e^{ika}\mathcal{T}_{11} + \mathcal{T}_{12}) - (e^{ika}\mathcal{T}_{21} + \mathcal{T}_{22})}, \\ T &= e^{-ika} \frac{(-1 + e^{2ika})(-\mathcal{T}_{12}\mathcal{T}_{21} + \mathcal{T}_{11}\mathcal{T}_{22})}{e^{ik'a}(e^{ika}\mathcal{T}_{11} + \mathcal{T}_{12}) - (e^{ika}\mathcal{T}_{21} + \mathcal{T}_{22})}. \end{aligned} \quad (6)$$

The transfer matrix \mathcal{T} will be obtained by applying the Hamiltonian to the amplitudes. It is convenient to express the original Hamiltonian (1) in the basis of eigenfunctions of the isolated triple dot. If we define the annihilation operators $\bar{d}_1, \bar{d}_2, \bar{d}_3$ in terms of the corresponding annihilation operators for electrons on sites 1, 2 and 3 as

$$\begin{aligned} d_1 &= \frac{1}{\sqrt{3}}(\bar{d}_1 + \bar{d}_2 + \bar{d}_3) \\ d_2 &= \frac{1}{\sqrt{3}}(\bar{d}_1 + e^{-2\pi i/3}\bar{d}_2 + e^{-4\pi i/3}\bar{d}_3) \\ d_3 &= \frac{1}{\sqrt{3}}(\bar{d}_1 + e^{2\pi i/3}\bar{d}_2 + e^{4\pi i/3}\bar{d}_3), \end{aligned} \quad (7)$$

the triple dot Hamiltonian will be diagonal at all values of the magnetic field:

$$\bar{H}_{\text{TQD}} = \varepsilon_1 \bar{d}_1^+ \bar{d}_1 + \varepsilon_2 \bar{d}_2^+ \bar{d}_2 + \varepsilon_3 \bar{d}_3^+ \bar{d}_3, \quad (8)$$

where $\varepsilon_1 = [E - \Delta V/2 - 2|t| \cos(2\pi\phi/3)]$, $\varepsilon_2 = [E - \Delta V/2 - 2|t| \cos(2\pi(\phi+1)/3)]$ and $\varepsilon_3 = [E - \Delta V/2 - 2|t| \cos(2\pi(\phi-1)/3)]$. This is exactly the energy spectrum obtained in [38] for the equilateral singly occupied triple quantum dot, where it was shown that the energy levels oscillate with the magnetic field yielding degeneracies in the spectrum at $\phi = n/2$, $n = 0, 1, \dots$. For the TQD-leads coupling Hamiltonian, H_{LD} , we obtain

$$\begin{aligned} \bar{H}_{LD} &= \bar{t}_{L1}c_0^+\bar{d}_1 + \bar{t}_{L2}c_0^+\bar{d}_2 + \bar{t}_{L3}c_0^+\bar{d}_3 \\ &+ \bar{t}_{R1}c_1^+\bar{d}_1 + \bar{t}_{R2}c_1^+\bar{d}_2 + \bar{t}_{R3}c_1^+\bar{d}_3 + hc. \end{aligned} \quad (9)$$

The new tunneling elements \bar{t}_{Lj} and \bar{t}_{Rj} are given by

$$\begin{aligned} \bar{t}_{L1} &= \frac{1}{\sqrt{3}}(t_{L1} + t_{L2}) \\ \bar{t}_{L2} &= \frac{1}{\sqrt{3}}(t_{L1} + e^{-2\pi i/3}t_{L2}) = \bar{t}_{L3}^* \\ \bar{t}_{R1} &= \frac{t_{R3}}{\sqrt{3}} & \bar{t}_{R2} &= \frac{t_{R3}}{\sqrt{3}}e^{2\pi i/3} = \bar{t}_{R3}^*. \end{aligned} \quad (10)$$

In (9) and (10) we have omitted the magnetic flux dependence of the tunneling matrix elements between the dots and the leads in order to simplify the notation. In fact, this dependence does not appear when the left lead is connected only to one dot, case that we shall analyze in more detail later.

Defining the amplitudes $\bar{D}_i = \langle \mathbf{r} | \bar{d}_i^+ | 0 \rangle$, the Schrödinger equation reads

$$\begin{aligned} t_L C_{-1} + (\varepsilon_L - \varepsilon)C_0 + \bar{t}_{L1}\bar{D}_1 + \bar{t}_{L2}\bar{D}_2 + \bar{t}_{L3}\bar{D}_3 &= 0 \\ \bar{t}_{L1}^* C_0 + (\varepsilon_1 - \varepsilon)\bar{D}_1 + \bar{t}_{R1}^* C_1 &= 0 \\ \bar{t}_{L2}^* C_0 + (\varepsilon_2 - \varepsilon)\bar{D}_2 + \bar{t}_{R2}^* C_1 &= 0 \\ \bar{t}_{L3}^* C_0 + (\varepsilon_3 - \varepsilon)\bar{D}_3 + \bar{t}_{R3}^* C_1 &= 0 \\ \bar{t}_{R1}\bar{D}_1 + \bar{t}_{R2}\bar{D}_2 + \bar{t}_{R3}\bar{D}_3 + (\varepsilon_R - \varepsilon)C_1 + t_L C_2 &= 0. \end{aligned} \quad (11)$$

Equation (11) allows us to express the amplitudes C_1, C_2 as a function of C_{-1} and C_0 . In so doing, one has to substitute the expressions for \bar{D}_i in terms of the amplitudes in the leads and write the resulting relations as in (4). To simplify the expressions, in all of the following discussions we will fix $\varepsilon_L = 0$ and the energy scale such that $t_L = -1$, which implies that the energy band of the leads is from -2 to 2 . Then,

$$\begin{aligned} \mathcal{T}_{11} &= \left[\frac{\bar{t}_{L1}\bar{t}_{R1}^*}{\varepsilon - \varepsilon_1} + \frac{\bar{t}_{L2}\bar{t}_{R2}^*}{\varepsilon - \varepsilon_2} + \frac{\bar{t}_{L3}\bar{t}_{R3}^*}{\varepsilon - \varepsilon_3} \right]^{-1} \\ \mathcal{T}_{12} &= -\mathcal{T}_{11} \left[-\varepsilon + \frac{|\bar{t}_{L1}|^2}{\varepsilon - \varepsilon_1} + \frac{|\bar{t}_{L2}|^2}{\varepsilon - \varepsilon_2} + \frac{|\bar{t}_{L3}|^2}{\varepsilon - \varepsilon_3} \right] \\ \mathcal{T}_{21} &= \mathcal{T}_{11} \left[-\varepsilon + \frac{|\bar{t}_{R1}|^2}{\varepsilon - \varepsilon_1} + \frac{|\bar{t}_{R2}|^2}{\varepsilon - \varepsilon_2} + \frac{|\bar{t}_{R3}|^2}{\varepsilon - \varepsilon_3} \right] \\ \mathcal{T}_{22} &= \frac{1}{\mathcal{T}_{11}^*} - \frac{\mathcal{T}_{12}\mathcal{T}_{21}}{\mathcal{T}_{11}}. \end{aligned} \quad (12)$$

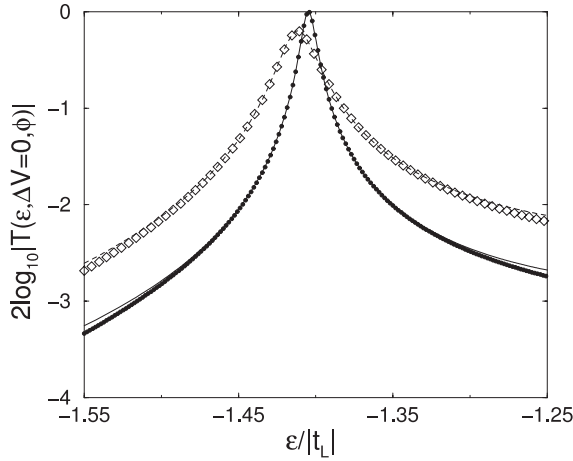


Figure 3. \log_{10} of the transmission probability at zero magnetic field versus the incident energy close to the on-resonance condition with the ground state of the triple dot. Solid line corresponds to single lead-to-dot connection and dashed line indicates the double connection. Dots (diamonds) shows a fitting to a Fano line shape with $q = 86.73$ and $\Gamma = 9.60 \times 10^{-3}$ ($q = 34.18$ and $\Gamma = 2.40 \times 10^{-2}$). The other parameters are $E = -1$, $\Delta V = 0$, $t = -0.2$, $t_{L1} = t_{R3} = -0.05$ and $\phi'/\phi = 1.73$ for the double connection.

Equation (12) is the central result of the paper. Nevertheless, the expressions for the transmission and reflection coefficients using (12) and (6) are still too lengthy, so we shall analyze several particular cases.

In the following subsections, we study two particular cases that can be handled analytically. To get a clear understanding of the main features of the transmission we will consider the simplest case where $t_{L2} = 0$ and $t_{L1} = t_{R3} = t_{LD}$. We shall further simplify the problem assuming zero bias voltage.

3.1. Transmission on-resonance with a single level

Let us consider first the situation where the incident energy is very close to one of the levels, e.g., level 1. Furthermore, we will assume that the other two levels are far away, i.e., $|t_{LD}| \sim |\epsilon_1 - \epsilon| \ll |\epsilon_2 - \epsilon|, |\epsilon_3 - \epsilon|$. Under these conditions, the Hamiltonian (1) reduces to the Fano–Anderson model [39] of a localized state in the continuum. In this case, the transmission close to level 1 is given by a Fano-like resonance [39]

$$|T(\epsilon)|^2 \propto \frac{(q\Gamma/2 + \epsilon - \epsilon_1)^2}{(\epsilon - \epsilon_1)^2 + \Gamma^2/4}, \quad (13)$$

where q is the Fano parameter and Γ the width of the resonance defined in [40]. If the three levels are far apart, each level will lead to one of this Fano resonances with their respective central energy, Fano parameter and widths. Although we have used an implicit notation in which the magnetic flux dependence is not apparent, we should emphasize that the main variation of the transmission with the magnetic flux in this single-resonance regime is governed by the sinusoidal variation of the single-particle energy levels ϵ_i , (8), with ϕ .

Figure 3 shows the logarithm of the transmission probability versus the incident energy at zero magnetic field for the single lead-to-dot connection (solid line) and the double

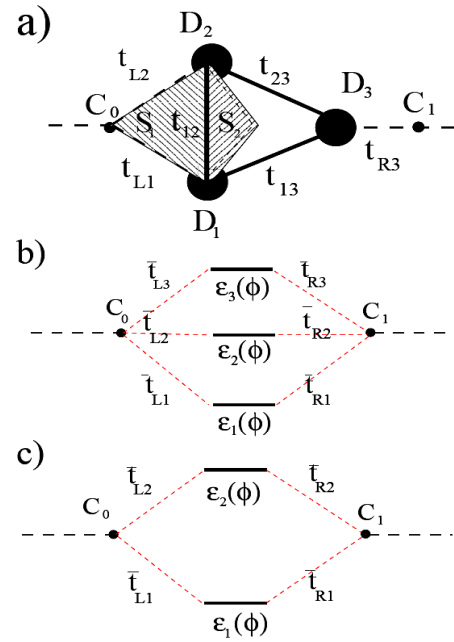


Figure 4. Schematic representation of the amplitudes and hopping matrix elements between sites: (a) in the original model, (b) in the basis of eigenvectors of the isolated triple dot and (c), the simplified version that accounts for the case where the incident energy is close to a quasi-degenerate pair of levels, i.e., $\epsilon \approx \epsilon_1(\phi) \approx \epsilon_2(\phi)$.

connection (dashed line), as well as the corresponding fitting to the form of (13) (dots and diamonds, respectively). For this case, where the coupling between the leads and the dots is quite small compared to the tunneling t_L , the line shape is quasi-Lorentzian, indicating the high values of q .

3.2. Transmission close to a degenerate level

Our aim now is to study the effects of the magnetic field induced degeneracies of the triple dot on the transmission. When the energy of the incident electrons is close to the quasi-degenerate level, the effect of the third orbital of the triple dot on the transmission can be neglected, see lower panel of figure 4. This approximation is valid for incident energies such $\tilde{E} - 2|t_{LD}| \leq \epsilon \leq \tilde{E} + 2|t_{LD}|$, where \tilde{E} is the energy level of the degenerate states. The elements of the transfer matrix can be obtained from (12) and, after the substitution in (6) and some extra algebra, the transmission probability reads as

$$|T(\delta)|^2 = (-1 + e^{2ika})^2 t_{LD}^4 \delta^2 \times \{\beta[t_{LD}^2 - 3\delta(e^{ika} + \tilde{E} + \delta)][t_{LD}^2 - \delta(e^{ika} + \tilde{E} + \delta)] \times \alpha(\delta)[\alpha(\delta) + 2\delta + 2e^{ika}\delta(\tilde{E} + \delta)]\}^{-1}, \quad (14)$$

where $\beta = (-1 + e^{i\pi/3})(1 + e^{2i\pi/3})$ and $\alpha(\delta) = \delta + e^{ika}[-t_{LD}^2 + \delta(\tilde{E} + \delta)]$. Here we have defined the energy shift $\delta = \epsilon - \tilde{E}$ and the corresponding wavevector $k(\delta)a = \arccos[(\delta + \tilde{E})/2]$. Notice that we have written the previous expression in an apparently complex form, but it can be checked that (14) provides a real positively defined quantity. Although this expression is still quite complicated, it is clear that the transmission probability goes to zero when we are on-resonance ($|T|^2 \propto \delta^2$). This result was previously described

in the context of scattering through a tunneling junction with two resonant impurities in [41]. In fact, when the tunneling t_{LD} is small enough, i.e. $|t_{LD}| \ll 1$, and under the assumption $|t| \ll 1$, the transmission probability when the degenerate orbital level is on-resonance with the Fermi energy of the leads ($\tilde{E} = 0$), can be expressed as

$$|T(\delta)|^2 \approx \frac{2\delta^2 \Gamma(\delta) \frac{t_{LD}^4}{(t_{LD}^4 + \delta^2)(t_{LD}^4 + 9\delta^2)}}{\left(-\delta^2 + \frac{\Gamma(\delta)^2}{4}\right)}, \quad (15)$$

where

$$\Gamma(\delta) = \frac{2 \left[t_{LD}^4 - 2(-5 + 2t_{LD}^2)\delta^2 + \frac{9\delta^4}{t_{LD}^2} \right] t_{LD}}{(t_{LD}^4 + \delta^2)(t_{LD}^4 + 9\delta^2)}.$$

As we can see from (15), the transmission probability close to the degenerate level \tilde{E} cannot be approximated by the addition of two Fano resonances, as one would naively expect from (13).

Let us analyze why the transmission coefficient goes to zero when the incident particles are on-resonance with a degenerate level. Let us consider arbitrary (but small) tunneling elements $\tilde{t}_{Lj}, \tilde{t}_{Rj}$ such that the two level approximation is still valid. Without loss of generality, we assume that the degenerate levels are ϵ_1 and ϵ_2 . Then, the Schrödinger equation for the incident energy $\epsilon = \tilde{E} = 0$ can be written as

$$\begin{aligned} -C_{-1} + \tilde{t}_{L1}\tilde{D}_1 + \tilde{t}_{L2}\tilde{D}_2 &= 0, & \tilde{t}_{L1}^*C_0 + \tilde{t}_{R1}^*C_1 &= 0, \\ \tilde{t}_{L2}^*C_0 + \tilde{t}_{R2}^*C_1 &= 0, & -C_2 + \tilde{t}_{R1}\tilde{D}_1 + \tilde{t}_{R2}\tilde{D}_2 &= 0. \end{aligned} \quad (16)$$

The system of equations (16) admits two kinds of solutions depending on the value of the determinant

$$A = \det \begin{pmatrix} \tilde{t}_{L1}^* & \tilde{t}_{R1}^* \\ \tilde{t}_{L2}^* & \tilde{t}_{R2}^* \end{pmatrix}. \quad (17)$$

Let us consider first the case where $A = 0$. This implies that $\tilde{t}_{L1}\tilde{t}_{R2} - \tilde{t}_{R1}\tilde{t}_{L2} = 0$. Then, making use of this relation in (16), one can extract the on-resonance transfer matrix

$$\mathcal{T}(\epsilon = \tilde{E} = 0) \equiv \begin{pmatrix} 0 & -\tilde{t}_{L1}^*/\tilde{t}_{R1}^* \\ \tilde{t}_{R2}/\tilde{t}_{L2} & 0 \end{pmatrix}. \quad (18)$$

Using the relation between the transfer matrix \mathcal{T} and the transmission, (6), one obtains

$$T = \frac{2i\tilde{t}_{L1}^*\tilde{t}_{R2}}{\tilde{t}_{L1}^*\tilde{t}_{L2} + \tilde{t}_{R2}\tilde{t}_{R1}^*}. \quad (19)$$

If the tunneling elements differ only by a phase, $\tilde{t}_{L1} = \tilde{t}_{L2} \equiv \tilde{t}e^{i\theta_L}$ and $\tilde{t}_{R1} = \tilde{t}_{R2} \equiv \tilde{t}e^{i\theta_R}$, with $\theta_R, \theta_L, \tilde{t} \in \mathfrak{R}$, then the only possible solution is $|T|^2 = 1$ (*full transmission*). This is in general the case of a double arm interferometer.

Now, we will consider the second case, $A \neq 0$. Notice that this is typically the situation in (10). The only possible solution of the system (16) is then $C_0 = C_1 = 0$, i.e., from the boundary conditions (5) follows that $R = -1$ and $T = 0$ (*full reflection*). If we assume a phase difference between the

tunneling elements, i.e., $\tilde{t}_{L1} = \tilde{t}_{L2} \equiv \tilde{t}$ and $\tilde{t}_{R1} = \tilde{t}e^{i\theta_1}$, $\tilde{t}_{R2} = \tilde{t}e^{i\theta_2}$ with $\theta_1, \tilde{t} \in \mathfrak{R}$, the amplitudes on the orbital levels 1 and 2 are given by

$$\tilde{D}_1 = \frac{-2ie^{i\theta_2}}{\tilde{t}[1 - e^{i(\theta_1 - \theta_2)}]}, \quad \tilde{D}_2 = \frac{2ie^{i(\theta_1 - \theta_2)}}{\tilde{t}[1 - e^{i(\theta_1 - \theta_2)}]}. \quad (20)$$

Notice that (20) implies that the probability of finding the electron on each of the degenerate levels is the same. It is worth mentioning that the dips in the conductance (zeros in the transmission probabilities), are inherent to the two-channel resonant tunneling [41], and they have been described in double-dot Aharonov–Bohm interferometers even at finite temperatures and in the presence of electron–electron interactions [42, 43]. Physically, this effect is associated with the interference between the amplitudes of the wavefunction in both channels which, depending on the phases of the coupling, can lead either to full transmission (constructive interference) or full reflection (destructive interference).

4. Current and conductance

To study the current through the system formed by the triple dot and the two leads we apply the Landauer–Büttiker formula [35–37]. If the chemical potential of the left lead is μ_L and a bias voltage $\Delta V/e = (\mu_L - \mu_R)/e$ is applied between the two leads, the current flowing through the system at zero temperature is given by

$$I(\Delta V, \phi) = \frac{e}{h} \int_{\mu_L - \Delta V}^{\mu_L} d\epsilon |T(\epsilon, \Delta V, \phi)|^2, \quad (21)$$

while the differential conductance can be obtained as

$$\begin{aligned} G &= \frac{\partial I(\Delta V, \phi)}{\partial \Delta V/e} = \frac{G_0}{2} \left[|T(\epsilon = \mu_L - \Delta V, \Delta V, \phi)|^2 \right. \\ &\quad \left. + \int_{\mu_L - \Delta V}^{\mu_L} d\epsilon \frac{\partial}{\partial \Delta V} |T(\epsilon, \Delta V, \phi)|^2 \right]. \end{aligned} \quad (22)$$

It relates the zero-temperature conductance to the transmission probability $|T(\epsilon, \Delta V, \phi)|^2$ at incident energy ϵ . Here $G_0 = \frac{2e^2}{h}$ is the quantum of conductance. Notice that in the linear regime (small ΔV), the differential conductance (or just conductance) is proportional to the transmission at the Fermi level of the left lead, since the second term in (22) cancels for $\Delta V \rightarrow 0$, while at intermediate bias, the second term is responsible for extra structure in the peaks of the linear conductance, increasing the complexity of the profile as we increase the bias [44]. The current and the conductance in the linear regime are given by

$$I = \frac{e}{h} |T(\epsilon = \mu_L; 0, \phi)|^2 \Delta V, \quad (23)$$

$$G = \frac{G_0}{2} |T(\epsilon = \mu_L; 0, \phi)|^2. \quad (24)$$

Therefore, the problem of obtaining the current through the system is reduced to the calculation of the transmission coefficient $T(\epsilon; \Delta V, \phi)$.

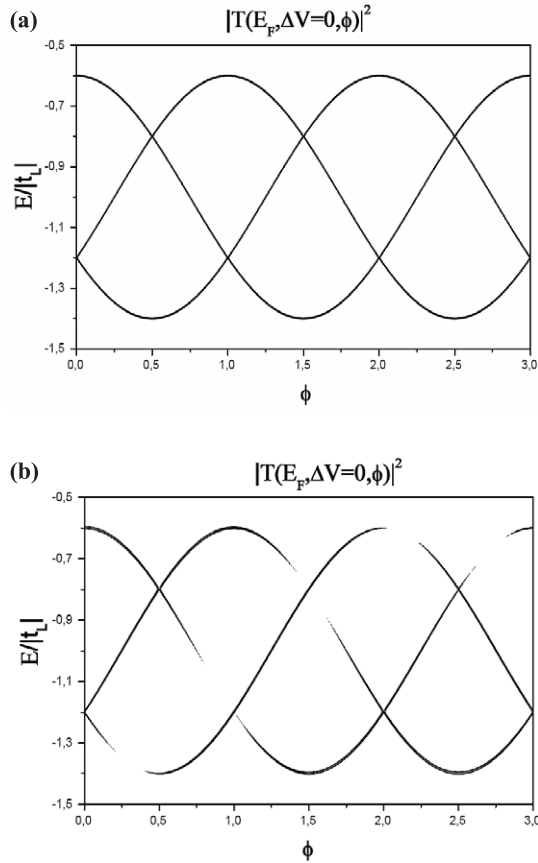


Figure 5. Transmission probability (vertical gray scale with black for 1) at the Fermi energy versus the number of magnetic flux quanta ϕ and dot energy E for the cases (a) $t_{L2} = 0$ showing periodic behavior with ϕ and (b), $t_{L2} = t_{LD}$ with additional non-periodic structure. $t_{LD} = -0.05$, $\Delta V = 0$ and $E_F = -1$.

5. Results

Here we are interested in the regime in which the energy band of the leads is much bigger than the energy splitting between the three levels of the TQD ($|t| \ll 1$). Also, the tunneling between the dots and the leads will be taken much smaller than other energy scales in the problem.

Let us consider first the linear transport, where (24) and (23) are valid. Figure 5(a) shows the transmission probability at the Fermi energy versus the magnetic flux and the dot energy E , tuned by external gates, for tunneling $t_{L2} = 0$ with $t = -0.2$, $t_{L1} = t_{R3} = t_{LD} = -0.05$, and $E_F = -1$. As shown in figure 5(a), the transmission is periodic in the magnetic flux with period of one flux quantum, in accordance with the energy spectrum described in [38]. The transmission pattern can be understood as follows. Electrons tunnel through the TQD only when one of the three levels of the quantum molecule is on-resonance with the Fermi energy ($E_F = -1$). For an arbitrary value of the magnetic flux, this occurs for three different values of the dot energy E . For example, at zero magnetic flux the resonance condition is fulfilled when the ground state ($E_G = E - 2|t|$) is on-resonance with the Fermi level ($E = -0.6$) while in the case of the doubly-degenerated excited state, ($E_e = E + |t|$), this happens when

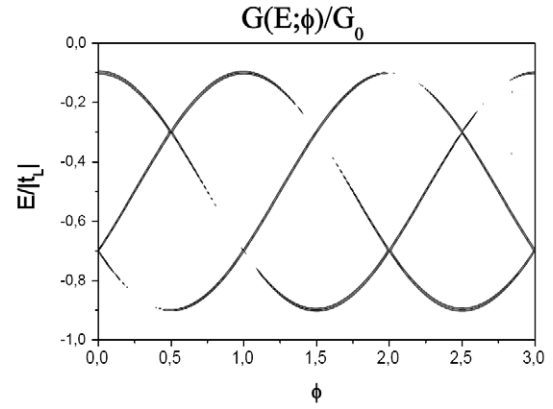


Figure 6. Differential conductance G (vertical gray scale) versus number of magnetic flux quanta ϕ and the dot energy E in the non-linear regime. $\Delta V = 1$, $\mu_L = -1$, $t = -0.2$ and $t_{L2} = t_{LD} = -0.05$. The ratio of fluxes is $\phi'/\phi = 1.73$.

$E = -1.2$. The oscillation in the levels of the isolated triple dot with the magnetic flux is reflected in the transmission since the values of high transmission correspond to dot energies on-resonance with the Fermi level. The structure that appears in figure 5(a) is preserved for values of $|t_{LD}| \leq |t|$, with the width of the high transmission regions increasing with t_{LD} . For $|t_{LD}| > |t|$, the transmission is a smoother function of the flux (not shown here) and the profile is deformed with respect to the case considered here. Figure 5(b) shows the transmission as a function of the magnetic flux and dot energy when the tunneling between the left lead and the second dot is allowed ($t_{L2} = t_{LD}$) for the ratio $\phi'/\phi = 1.73$. This ratio of fluxes leads to a non-periodic structure superimposed on the one appearing in figure 5(a), a consequence of the interference between the two magnetic fluxes.

Let us consider now a case in which the transmission window given by ΔV is much bigger than $|t|$ (this is the case in most experimental setups with networks of lateral dots, including [3]). Then the total current contains contributions from all incident energies within the transmission window, see (21) and (22), a non-linear regime. Figure 6 shows a contour plot of the differential conductance versus the magnetic flux for the dot energy $E_F = -1$, $\Delta V = 1$ and $t_{L2} = t_{LD} = -0.05$. The variation of G with the flux ϕ resembles the dependence of the transmission probability, shown in figure 5(b). This allows the determination of the tunneling matrix elements $|t|$ from the amplitude of the oscillations. Therefore, the differential conductance under finite source-drain bias maps out the energy levels of the TQD.

Although the contour plot of figure 6 provides the basic picture of the behavior of the TQD connected to the leads, it does not allow us to see several important details. To simplify the analysis of the fine structure we can consider the simplest case with single lead-to-dot connection and look at the current. We have shown the resulting current for three different values of the dot energy, $E = -0.8$, figure 7(a), $E = -0.6$, figure 7(b), and $E = -0.2$, figure 7(c), using the same parameters as in figure 6. The first case, $E = -0.8$, corresponds to the scenario where the three levels of the triple

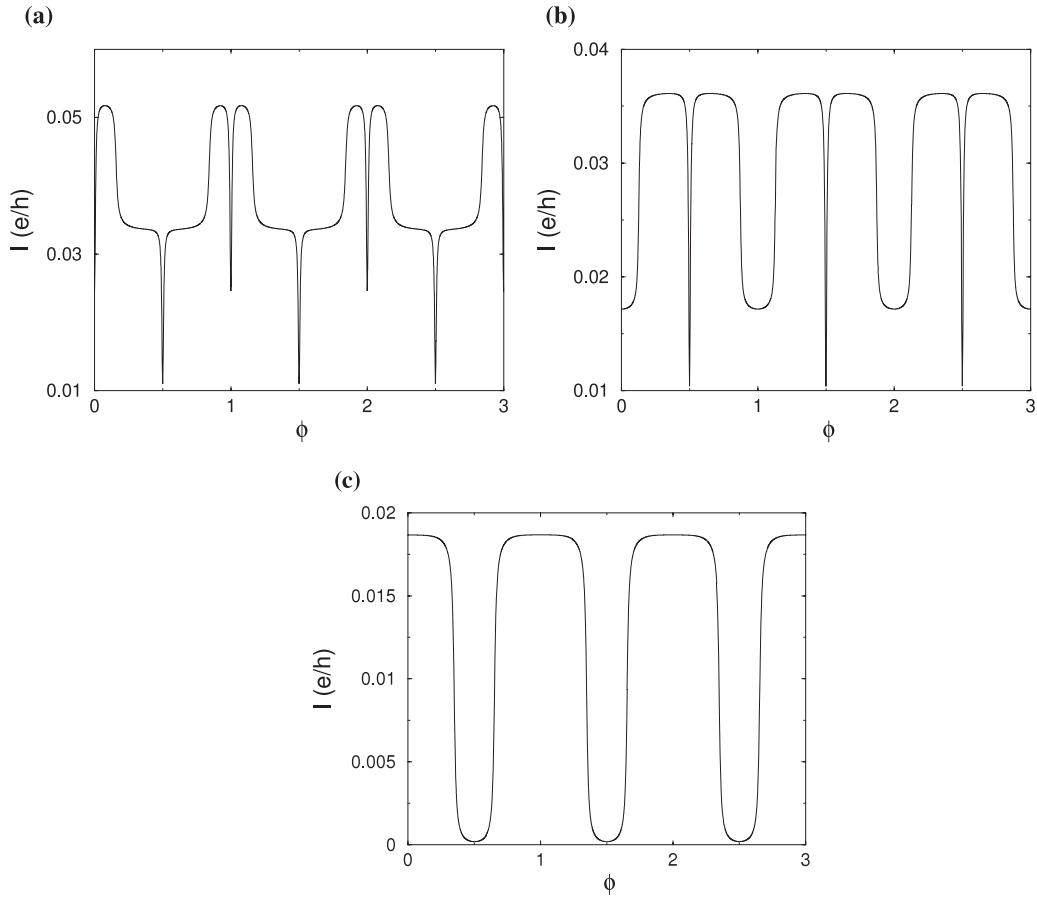


Figure 7. Current versus number of magnetic flux quanta ϕ in the non-linear regime under a bias $\Delta V = 1$ and for the single lead-to-dot connection. (a) Shows the case where the three levels can contribute to the current, with $E = -0.8$, (b), up to two levels ($E = -0.6$) and (c) only one level ($E = -0.2$). $E_F = -1$, $t = -0.2$, and $t_{LD} = -0.05$. The sharp dips in the current appear with different frequency for each case: $1/2$ in (a), 1 in (b) and no dips in (c).

dot contribute to the current. As we have shown previously, when the incident particle has an energy on-resonance with degenerate levels, the transmission probability drops to zero. In fact, even for the general case where $t_{L2} \neq 0$ and under an applied bias ΔV , it can be proven that close to the central energy \tilde{E}

$$\left| T\left(\varepsilon, \Delta V, \phi = \frac{n}{2}\right) \right|^2 \approx f(E, \Delta V)(\varepsilon - \tilde{E})^2, \quad (25)$$

with $\phi = \frac{n}{2}, n = 1, 2, \dots$ and $f(E, \Delta V)$ a function of the dot energy and the bias voltage. These zeros in the transmission are reflected in the current as sharp drops whenever a pair of degenerate levels lies between the chemical potential of the two leads. In figure 7(a), this happens when $\phi = n/2, n = 1, 2, \dots$. It should be pointed out that the zero in the transmission probability at the degenerate level does not imply zero current, as can be clearly seen from (21), since contributions from the whole interval of energies $[\mu_L - \Delta V, \mu_L]$, which may include the three broadened levels as in figure 7(a), must be accounted for. Anomalous behavior in the transmission through a double-dot Aharonov–Bohm interferometer have also been described in [42, 45]. In particular, Kubo *et al* [45] have reported

sharp zero conductance dips in the linear regime using the Green’s function formalism while Tokura *et al* [43] found similar results for the current under large bias using a quantum master equation. A second scenario appears in figure 7(b), where only two levels can contribute to the current. In this case, the anomalous dips in the current appear with a periodicity of one flux quantum. Finally, the third possibility, at most one level contributing to the current, is presented in figure 7(c). Here, the dips in the current have disappeared since the possible degenerate states of the triple dot are outside the transmission window. We want to emphasize that the different periodicities in the sharp dips we have reported here have a completely different nature to the periodicity doubling showed in [34], which was due to the removal of the symmetries of the triangular triple dot [29].

From our discussion, it should be clear that the anomalous behavior of the current with the magnetic field is a manifestation of degeneracies in the system. These anomalies can appear in AB interferometers with at least two quantized levels [42, 45] or more complicate systems like our triple dot [34, 29]. Finally, we want to emphasize the capabilities of the transfer matrix method that recovers the results obtained in the linear [27–31] as well as in the large bias [32–34] regimes, allowing the study of transport under arbitrary bias.

6. Conclusions

To summarize, we have analyzed the linear and non-linear differential conductance through an equilateral triple dot connected to two leads and subject to a perpendicular magnetic field. Two possible spatial configurations were analyzed: a single lead-to-dot connection where only one flux threads the system and a double connection where two different fluxes must be considered. In both cases, we found that superimposed on the AB oscillations induced by resonances with the oscillatory levels of the TQD, sharp dips in the current appear whenever degenerate states lie between the chemical potential of the two leads. Therefore, three scenarios are possible: no dips implying degeneracies outside the transmission window, dips appearing with a periodicity of one flux quanta implying at most two levels contributing to the current and dips with periodicity of half flux quantum implying all three levels contributing to current. We provided here a simple theory of the dips in the conductance in terms of transport through a pair of degenerate levels. The presence of a double lead-to-dot connection produces an additional non-periodic structure in the conductance as a function of the magnetic field, related to the existence of two non-commensurate fluxes threading the system. Both effects, AB oscillations and the dips in the current are visible when large potential bias is applied between the two leads.

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